

## NOTICE OF SPECIFIED PENALTY

Date of Issue: April 26, 2017		Payment Due Date: May 26, 2017	
MSA File Number	2017-119	<b>Specified Penalty Amount</b>	<b>\$1,500</b>
Registered Entity Name	Syncrude Canada Ltd.		
Asset ID (if applicable)	SCL1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	203.3	Date of Contravention	October 25, 2016
Date of Referral/Self Report	March 22, 2017	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On October 24, 2016 during the HE 24 settlement interval, the SCL1 asset was dispatched to 30 MW. The declared available capability (AC) for SCL1 for the following settlement interval (HE 1 on October 25<sup>th</sup>) was 100 MW. At approximately 23:44 on October 24<sup>th</sup>, SCL1 received an advanced dispatch to 100 MW for HE 1 on October 25<sup>th</sup>. At approximately 23:49 on October 24<sup>th</sup>, the AC of SCL1 for HE 1 on October 25<sup>th</sup> was restated from 100 MW to 25 MW. ISO rule section 203.3 indicates that if there is a change in AC of the source asset, the pool participant must restate AC as soon as reasonably practicable.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch, and available capability data for SCL1 for October 24 and 25, 2016.
2. AESO information request issued to Syncrude Canada Ltd. dated February 6, 2017.
3. Syncrude Canada Ltd.'s response to the AESO information request dated February 16, 2017.
4. Referral from the AESO to the MSA dated March 22, 2017.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [jeremy.smith@auc.ab.ca](mailto:jeremy.smith@auc.ab.ca), and Greg Andrews (Investigator) [greg.andrews@auc.ab.ca](mailto:greg.andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature	"Original Signed"	Signature Date	April 26, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services