

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 31, 2017		Payment Due Date: May 1, 2017	
MSA File Number	2017-036	Specified Penalty Amount	\$1,250
Registered Entity Name	Shell Energy North America (Canada) Inc.		
Asset ID (if applicable)	SHXB	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	203.6	Date of Contravention	January 17, 2017
Date of Referral/Self Report	February 1, 2017	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On January 17, 2017 for the hour ending (HE) 22 settlement period, the sum of the e-tag export volumes at the start of the HE 22 settlement interval was 200 MW however, the declared available capability (AC) of the SHXB export asset as stated two hours prior to the start of the HE 22 settlement interval was 0 MW. ISO rule 203.6 indicates that a pool participant must submit e-tags for an energy interchange transaction such that the sum of the e-tag volumes agrees with the available capability (AC) of the asset as stated two hours prior to the start of the settlement interval.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability and e-tag data for SHXB for January 17, 2017.
2. Shell Energy North America (Canada) Inc.'s self-report to the MSA dated February 2, 2017.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Greg Andrews (Investigator) greg.andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	March 31, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services