

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 30, 2014

Payment Due Date: December 1, 2014

MSA File Number:	2014-361	Specified Penalty Amount:	\$ 250
Market Participant Name:	ENMAX PPA Management Inc.		
Asset ID (if applicable):	BR5	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	September 19, 2014
Date of Referral/Self Report:	October 14, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On September 19, 2014 at approximately 10:18, the Available Capability (AC) for the BR5 generating unit was revised in a 10:18 submission to the AESO via the Energy Trading System (ETS) to convey a planned maintenance outage (the 10:18 submission). The intended outage interval was from 21:00 on October 23, 2014 to 21:00 on October 25, 2014. Due to human error, the 10:18 submission indicated a unit outage extending from 21:00 on September 23, 2014 to 21:00 on October 25, 2014. Based upon information available to the MSA, an acceptable operational reason did not exist for the 10:18 submission. The AC declarations contained in the 10:18 submission were revised at approximately 10:24 to reflect the intended outage interval. ISO rule 203.3 directs that a pool participant must only submit an available capability restatement revising the available capability of the source asset if such revision is as a result of: i) an acceptable operational reason, ii) an operational deviation, or iii) commissioning or testing under section 505.3 or 505.4 of the ISO Rules.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for BR5 from September 23, 2014 to October 25, 2014.
2. NGX trade data for September 19, 2014 pertaining to October 2014 Alberta Electricity contracts.
3. Self-report from ENMAX PPA Management Inc. to the MSA dated October 14, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	October 30, 2014
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services