

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 16, 2014

Payment Due Date: October 16, 2014

MSA File Number:	2014-306	Specified Penalty Amount:	\$500
Market Participant Name:	Irrigation Canal Power Cooperative Ltd.		
Asset ID (if applicable):	ICP1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	502.4	Date of Contravention:	April 24-27, 2014
Date of Referral/Self Report:	August 26, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

Between April 24 and April 27, 2014, there were three instances in which the AESO System Controller attempted to contact personnel at ICP1 by telephone at the telephone number provided to the AESO as the contact number for the asset, but in each case was unable to do so. ISO rule 502.4 states that a market participant's voice communication system must remain in compliance with the technical specifications and operational requirements which were in effect as of the original date of the commencement of the system's operations. In this case, the relevant specifications are set out in AESO OPP 003.3 indicating that participants who are required to receive energy market dispatches are required to maintain normal telephone service with a direct access number.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 502.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO information request issued to ICP1 on July 16, 2014.
2. ICP1 response to AESO information request provided on July 17 2014.
3. AESO August 26, 2014 letter to ICP1 notifying referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	September 16, 2014
Name:	Doug Doll	Title:	Manager Compliance