

## NOTICE OF SPECIFIED PENALTY

Date of Issue: June 2, 2014

Payment Due Date: July 3, 2014

MSA File Number:	2014-179	<b>Specified Penalty Amount:</b>	\$ 5,000
Market Participant Name:	Powerex Corp.		
Asset ID (if applicable):	FNG1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	April 26, 2014
Date of Referral/Self Report:	May 22, 2014	This is the sixth contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On April 26, 2014 at 22:44 MST, the FNG1 generating asset accepted an advance dispatch to move from 50 MW to 22 MW, for effect at 23:00 MST. Subsequent to the effective time of the 22:44 dispatch, FNG1 failed to move directionally toward the new dispatch level within 10 minutes and continued to generate at a level between 45 MW and 48 MW until 02:35. ISO Rule 203.4 states that a pool participant must move the output of a generating source asset which is the subject of a dispatch and ramping, toward the MW level indicated in the dispatch within ten (10) minutes of the time specified in the dispatch but not prior to the dispatch. Further, ISO rule 203.4 indicates that a pool participant must ensure that the generating source asset reaches generating asset steady state by no longer than the period set out in section 4(2)(b).

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch, generation, and available capability submissions data for FNG1 for April 26, 2014.
2. Self-report from Powerex Corp. to the MSA dated May 22, 2014.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	June 2, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services