

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 21, 2014

Payment Due Date: April 21, 2014

MSA File Number:	2014-083	Specified Penalty Amount:	\$ 250
Market Participant Name:	Powerex Corp.		
Asset ID (if applicable):	PWSR	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	3.6.2	Date of Contravention:	February 12, 2014
Date of Referral/Self Report:	March 11, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On February 12, 2014, Powerex Corp. intended to provide 80 MW of active spinning reserve service (SR) to the AESO for off-peak hours (HE1-7, HE24) via asset ID PWSR. Due to a restatement error, the HE 24 ancillary services offer was restated to 0 MW and remained unchanged until 22:58 when Powerex notified the system controller. ISO rule 3.6.2 states that ancillary services providers are required to submit an initial declaration for each asset and thereafter are required to restate changes in the capability of the asset to deliver the service. Rule 3.6.2 further states that Information, which has been declared, remains in effect until the pool participant submits a change through the restatement process.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 3.6.2.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO offer and dispatch data for PWSR for February 12, 2014.
2. Self-report from Powerex Corp. to the MSA dated March 11, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	March 21, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services