

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 31, 2014

Payment Due Date: March 4, 2014

MSA File Number:	2013-440	Specified Penalty Amount:	\$ 1,250
Market Participant Name:	Alberta-Pacific Forest Industries		
Asset ID (if applicable):	AFG1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	December 12, 2013
Date of Referral/Self Report:	December 19, 2013	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On December 12, 2013, at approximately 22:23, the AFG1 generating asset received an energy dispatch instruction from the AESO to move from 16 MW to 5 MW for immediate effect. The generation level of AFG1 remained unchanged until approximately 22:54. AFG1 also did not achieve the new level pertaining to the 22:23 dispatch instruction in accordance with section 4(2) of ISO rule section 203.4. ISO Rule 203.4 states that a pool participant must move the output of a generating source asset which is the subject of a dispatch and ramping, towards the MW level indicated in that dispatch within ten (10) minutes of the time specified in the dispatch but not prior to the time specified in the dispatch. In respect of a dispatch, ISO rule 203.4 further requires a generating source asset to reach generating asset steady state within the time parameters set out in 4(2)(a) and 4(2)(b).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch and generation data for AFG1 for December 12, 2013.
2. Self-report from Alberta-Pacific Forest Industries to the MSA dated December 19, 2013.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	January 31, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services