

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 20, 2011

Payment Due Date: October 25, 2012

MSA File Number:	2012-260	Specified Penalty Amount:	\$ 250
Market Participant Name:	Suncor Energy Inc		
Asset ID (if applicable):	SCR1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	3.5.3	Date of Contravention:	June 24, 2012
Date of Referral/Self Report:	August 24, 2012	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On June 24, 2012 at approximately 00:39 an energy offer restatement for the SCR1 asset was submitted impacting the offered volumes, minimum stable generation (MSG) and available capability (AC) for HE 1 through HE 6. This restatement had the effect of increasing offer Block 0 from 500 MW to 545 MW, MSG from 500 MW to 505 MW and AC from 530 MW to 545 MW. At 00:41 a second energy offer restatement was submitted for HE 1 through HE 6 decreasing offer block 0 from 545 MW to 505 MW. Section 3.5.3.2 of ISO Rule 3.5.3 requires that the submission of an energy restatement with impact on the current settlement interval or a settlement interval commencing within two hours of the submission must be for an Acceptable Operational Reason.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 3.5.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Suncor Energy Inc's self-report submitted by TransAlta Corporation sent on August 24, 2012 (dated August 16, 2012).
2. AESO Available Capability, offer, minimum stable generation data for SCR1 on June 24, 2012.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:		Signature Date:	September 20, 2011
Name:	Doug Doll	Title:	Manager Compliance