

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 29, 2011

Payment Due Date: April 28, 2011

MSA File Number:	2011-027	Specified Penalty Amount:	\$ 500
Market Participant Name:	TransAlta Corporation		
Asset ID (if applicable):	ARD1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.2.2	Date of Contravention:	November 29, 2010
Date of Referral/Self Report:	February 4, 2011	This is the 1st contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On November 29, 2010 between 16:26 and 20:06 the AESO System Controller made four unsuccessful attempts to contact appropriate personnel for the ARD1 wind generation facility in order to issue directives to curtail generation. Rule 6.2.2 states pool participants must provide acceptable methods of communication. Unless otherwise authorized by the ISO, each pool participant must comply with the ISO Operation Policies and Procedures' technical standards for operational voice communications and automated dispatch and messaging system. The ISO Operational Voice Communications Standard indicates that every Generation Facility Owner must provide 7X24 telephone services to the generation facilities operation authority location.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 6.2.2. The MSA is not pursuing the event as a violation of OPP 515.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO phone logs for November 29, 2010.
2. AESO Information Request to TransAlta Corporation dated January 14, 2011.
3. TransAlta Corporation January 25, 2011 response to AESO information request.
4. AESO letter to TransAlta Corporation dated February 4, 2011 notifying the referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	March 29, 2011
Name:	Doug Doll	Title:	Manager Compliance