

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 8, 2024		Payment Due Date: August 7, 2024	
MSA File Number	RS2024-008	Specified Penalty Amount	\$ 1,500
Market Participant Name	Syncrude Canada Ltd.		
Reliability Standard	PRC-005-AB2-6	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R5	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	January 17, 2024	Date of Contravention	October 13, 2020

MSA FINDINGS

Syncrude Canada Ltd. contravened R5 of PRC-005-AB2-6 as there were no demonstrated efforts to correct identified unresolved maintenance issues related to the unmonitored control circuitry associated with protective functions. This is an ongoing contravention which started on October 13, 2020.

PRC-005-AB2-6 states, in part:

R5. Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility must demonstrate efforts to correct identified unresolved maintenance issues.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PRC-005-AB2-6, requirement R5.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on July 8, 2024