

## NOTICE OF SPECIFIED PENALTY

|                             |                      |                                  |   |
|-----------------------------|----------------------|----------------------------------|---|
| Date of Issue: July 8, 2024 |                      | Payment Due Date: August 7, 2024 |   |
| MSA File Number             | RS2024-004           | <b>Specified Penalty Amount</b>  | <b>\$ 1,500</b>   |
| Market Participant Name     | Syncrude Canada Ltd. |                                  |   |
| Reliability Standard        | <b>PRC-005-AB2-6</b> | Self-Report                      | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| Requirement                 | <b>R1</b>            | Accepted Mitigation Plan         | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| Date of Self Report         | January 17, 2024     | Date of Contravention            | October 13, 2020  |

### MSA FINDINGS

Syncrude Canada Ltd. contravened R1 of PRC-005-AB2-6 as the established protection system maintenance program did not include the unmonitored control circuitry associated with protective functions as mentioned in Table 1-5. This is an ongoing contravention which started on October 13, 2020.

PRC-005-AB2-6 states, in part:

R1. Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility must establish a protection system maintenance program for its protection systems, automatic reclosing, and sudden pressure relaying.

The protection system maintenance program must:

R1.1 identify which maintenance method (a time-based method, the performance-based method per Appendix 2, or a combination of these maintenance methods) is used to address each protection system, automatic reclosing, and sudden pressure relaying component type (as identified in Appendix 1). All batteries associated with the station dc supply component type of a protection system must be included in a time-based program as described in Table 1-4 and Table 3 of Appendix 1.

R1.2 include the applicable monitored component attributes applied to each protection system, automatic reclosing, and sudden pressure relaying component type consistent with the maintenance intervals specified in Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-3, and Table 5 of Appendix 1, where monitoring is used to extend the maintenance intervals beyond those specified for unmonitored protection system, automatic reclosing, and sudden pressure relaying components.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PRC-005-AB2-6, requirement R1.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on July 8, 2024