

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 20, 2025		Payment Due Date: February 20, 2025	
MSA File Number	RS2024-229 / 230 / 231	Specified Penalty Amount¹	\$ 2,500
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-002-AB-4	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R4, R4.1, and R4.2	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	October 30, 2024	Date of Contravention	April 1, 2024

MSA FINDINGS

Requirement R4, including its sub requirements R4.1 and R4.2, was contravened from April 1, 2024, until the completion of the mitigation plan anticipated to be in February 2025, as City of Medicine Hat did not perform the assessments described in the requirements.

COM-002-AB-4 states, in part:

R4 The ISO and each operator of a transmission facility shall at least once every 12 months:

R4.1 assess adherence to the documented communications protocols in requirement R1 by its operating personnel that issue or receive operating instructions, including directives, provide feedback to those operating personnel, and take corrective action, as deemed appropriate by the entity, to address deviations from the documented protocols; and

R4.2 assess the effectiveness of its documented communications protocols in requirement R1 for its operating personnel that issue or receive operating instructions, include directives, and modify its documented communication protocols, as necessary.

The MSA is satisfied that the conduct was a contravention of Reliability Standard COM-002-AB-4, requirement R4.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on January 20, 2025

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.