

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 12, 2025		Payment Due Date: April 14, 2025	
MSA File Number	RS2024-169	<b>Specified Penalty Amount<sup>1</sup></b>	<b>\$ 2,500</b>
Market Participant Name	TA Alberta Hydro LP		
Reliability Standard	FAC-008-AB-3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R6	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	July 25, 2024	Date of Contravention	January 1, 2020
<b>MSA FINDINGS</b>			
<p>TA Alberta Hydro LP contravened requirement R6 of FAC-008-AB-3 from January 1, 2020, until the completion of the mitigation plan (planned April 30, 2025) as the facility ratings for the generating assets Ghost, Spray, Rundle, Bighorn, Brazeau were not consistent with the methodology developed in accordance with requirement R2.</p> <p>Requirement 6 of FAC-008-AB-3 states:</p> <p style="padding-left: 40px;">Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must have facility ratings for its facilities that are consistent with: (a) the facility ratings methodology in accordance with requirements R2 or R3, for a transmission facility, a generating unit and an aggregated generating facility; and (b) the documentation in accordance with requirement R1, for a generating unit and an aggregated generating facility.</p> <p>The MSA is satisfied that the conduct was a contravention of Reliability Standard FAC-008-AB-3, requirement R6.</p>			
<b>DELIVERY OF PAYMENT</b>			
<p>Payment can be made by electronic funds transfer (EFT). Please reach out to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> for instructions. When submitting the EFT, please send an email to <a href="mailto:AU.FinOps@gov.ab.ca">AU.FinOps@gov.ab.ca</a>, <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> and <a href="mailto:enforcement@auc.ab.ca">enforcement@auc.ab.ca</a> noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.</p>			
<b>NOTICE</b>			
<p>The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the <i>Alberta Utilities Commission Act</i> to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.</p> <p>In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.</p> <p>In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.</p> <p>If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.</p>			
<b>APPROVED BY</b>			
Mark Nesbitt, Director, Enforcement on March 12, 2025			

<sup>1</sup> Specified penalty amount conditional on the completion of the accepted Mitigation Plan.