

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 29, 2024		Payment Due Date: August 29, 2024	
MSA File Number	RS2024-064	Specified Penalty Amount¹	\$ 3,500
Market Participant Name	Suncor Energy Inc.		
Reliability Standard	PER-005-AB-2	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	March 28, 2024	Date of Contravention	July 29, 2020 ²

MSA FINDINGS

Suncor Energy Inc. did not implement the applicable requirements of PER-005-AB-2. This is an ongoing contravention starting on April 1, 2018, when PER-005-AB-2 came into effect.

PER-005-AB-2 states, in part:

R1 The ISO and each operator of a transmission facility must use a systematic approach to develop and implement a training program for its real time operating personnel, as follows:

R1.1 The ISO and each operator of a transmission facility must:

- (a) create a definition of a company-specific real time reliability-related task;
- (b) develop a documented methodology for determining company-specific real time reliability-related tasks; and
- (c) create a list of bulk electric system company-specific real time reliability-related tasks based on that methodology.

R1.1.1 The ISO and each operator of a transmission facility must review, and update if necessary, its list of bulk electric system company-specific real time reliability related tasks identified in R1.1 each calendar year.

R1.2 The ISO and each operator of a transmission facility must design and develop training materials according to its training program, based on the bulk electric system company specific real time reliability-related task list created in R1.1.

R1.3 The ISO and each operator of a transmission facility must deliver training to its real time operating personnel according to its training program.

R1.4 The ISO and each operator of a transmission facility must conduct an evaluation each calendar year of the training program established in requirement R1 to identify any needed changes to the training program, and must implement the changes identified.

MR1 Evidence of using a systematic approach to develop and implement a training program for its real time operating personnel as required in requirement R1 exists. Evidence may include, but is not limited to, training program descriptions, meeting minutes, design notes, or other equivalent evidence.

MR1.1 Evidence of having a definition, methodology and bulk electric system company specific real time reliability-related task list and review history, as required in requirement R1.1 exists. Evidence may include, but is not limited to, a definition for real time reliability-related tasks, a documented methodology for determining company specific real time reliability-related tasks, a list of real time reliability related tasks, a documented revision history or other equivalent evidence.

MR1.2 Evidence of designing and developing training materials as required in requirement R1.2 exists. Evidence may include, but is not limited to, course design documents and examples of training materials or other equivalent evidence.

MR1.3 Evidence of delivering real time operating personnel training, as required in requirement R 1.3 exists. Evidence may include, but is not limited to, training records showing the names of the attendees, the title of the training delivered, and the dates of delivery or other equivalent evidence.

MR1.4 Evidence of conducting an evaluation of the training program each calendar year and implementing the identified changes, as required in requirement R1.4 exists. Evidence may include, but is not limited to, instructor observations, trainee feedback, course evaluations, meeting minutes, training program document revision history, or other equivalent evidence.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PER-005-AB-2, requirement R1.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. MSA File #. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on July 29, 2024

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

² Alberta Utilities Commission [Rule 027](#), section 3.4(b) four-year period binds.