

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 29, 2024		Payment Due Date: August 29, 2024	
MSA File Number	RS2024-063	Specified Penalty Amount¹	\$ 12,500
Market Participant Name	Suncor Energy Inc.		
Reliability Standard	PER-003-AB-1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R2	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	March 28, 2024	Date of Contravention	July 29, 2020 ²

MSA FINDINGS

Suncor Energy Inc. did not implement requirement R2 of PER-003-AB-1. This is an ongoing contravention starting on January 1, 2019, when PER-003-AB-1 came into effect.

PER-003-AB-1 states, in part:

R2 An operator of a transmission facility must staff each of its real time operating positions performing reliability-related tasks with operating personnel who have obtained and maintained one of the following NERC certificates:

- (a) Reliability Operator;
- (b) Balancing, Interchange and Transmission Operator; or
- (c) Transmission Operator.

MR2 Evidence of staffing its real time operating positions performing reliability-related tasks with operating personnel certified in accordance with the requirement R2 exists. This evidence may include, but it is not limited to:

- (a) the list of real time operating positions performing reliability-related tasks;
- (b) the list of operating personnel assigned to the real time operating positions performing reliability-related tasks;
- (c) a copy of each of its operating personnel's NERC certificate; and
- (d) work schedules, logs, or other equivalent evidence showing which operating personnel were assigned to work in real time operating positions performing reliability-related tasks.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PER-003-AB-1, requirement R2.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. MSA File #. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on July 29, 2024

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

² Alberta Utilities Commission [Rule 027](#), section 3.4(b) four-year period binds.