

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 23, 2024		Payment Due Date: June 24, 2024	
MSA File Number	RS2024-035	Specified Penalty Amount¹	\$ 3,750
Market Participant Name	TA Alberta Hydro LP		
Reliability Standard	EOP-005-AB-2	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R16	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral	February 27, 2024	Date of Contravention	December 31, 2020 to June 6, 2023

MSA FINDINGS

The Alberta Electric System Operator (AESO) conducted a scheduled Q3-2023 compliance monitoring audit of TA Alberta Hydro LP (TAHLP) to verify compliance with the Alberta Reliability standards (ARS) applicable to TAHLP. The applicable audit period with respect to EOP-005-AB-2 extended from July 1, 2020, to June 30, 2023. The MSA finds that TAHLP contravened EOP-005-AB-2 R16 as follows:

- From December 31, 2020, to April 21, 2021, as the blackstart resource testing for Brazeau 2 was not performed annually in accordance with the blackstart resource agreement.
- The record pertaining to the Brazeau 1 test on March 24, 2022, does not include the information required per R16.1(a-f).
- The record pertaining to the Brazeau 2 test on June 6, 2023, does not include the information required per R16.1(d).
- The record pertaining to the Spray 1 test on May 11, 2022, does not include the information required per R16.1(d).
- The record pertaining to the Brazeau 1 test on February 23, 2023, does not include the information required per R16.1(e).

EOP-005-AB-2 states, in part:

R16 Each operator of a generating unit with a blackstart resource must perform blackstart resource tests, and maintain records of such testing, in accordance with the testing requirements set by the ISO as referenced in the blackstart resource agreements or mutually agreed upon procedures or protocols.

R16.1 Testing records must include at a minimum:

- (a) name of the blackstart resource;
- (b) generating unit tested;
- (c) date of the test;
- (d) duration of the test;
- (e) time required to start the generating unit; and
- (f) an indication of any testing requirements not met under requirement R9.

R16.2 Each operator of a generating unit with a blackstart resource must provide the blackstart resource test results within thirty (30) days after receiving a request from the ISO.

The MSA is satisfied that the conduct was a contravention of Reliability Standard EOP-005-AB-2, requirement R16.

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on May 23, 2024.