NOTICE OF SPECIFIED PENALTY

Date of Issue: July 19, 2024		Payment Due Date: August 19, 2024	
MSA File Number	RS2023-240	Specified Penalty Amount ¹	\$2,500
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB1-1.1	Self-Report	⊠ YES □ NO
Requirement	R7	Accepted Mitigation Plan	⊠ YES □ NO
Date of Self Report	October 25, 2023	Date of Contravention	July 18, 2020
MSA FINDINGS			
The City of Medicine Hat contravened COM-001-AB1-1.1 from July 18, 2020, to March 31, 2024, as it did not have written operating instructions and procedures to enable continued operation of the interconnected electric system during the loss of voice and message telecommunications facilities, as required by R7.			
COM-001-AB1-1.1 states, in part:			
R7 The ISO and each operator of a transmission facility must have written operating instructions and procedures to enable continued operation of the interconnected electric system during the loss of voice and message telecommunications facilities.			
MR7 Evidence of having written operating instructions and procedures as required in requirement R7 exists. Evidence may include electronic or hard copy of the operating instructions and procedures.			

The MSA is satisfied that the conduct was a contravention of Reliability Standard COM-001-AB1-1.1, requirement R7.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to us for instructions. When submitting the EFT, please send an email to <u>AU.FinOps@gov.ab.ca</u>, <u>compliance@albertamsa.ca</u> and <u>enforcement@auc.ab.ca</u> noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to <u>compliance@albertamsa.ca</u>.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <u>compliance@albertamsa.ca</u>.

APPROVED BY

Mark Nesbitt, Director, Enforcement on July 19, 2024

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.