

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 19, 2024		Payment Due Date: August 19, 2024	
MSA File Number	RS2023-239	<b>Specified Penalty Amount<sup>1</sup></b>	<b>\$2,500</b>
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB1-1.1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R5	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	October 25, 2023	Date of Contravention	July 18, 2020

### MSA FINDINGS

City of Medicine Hat contravened COM-001-AB1-1.1 from July 18, 2020, to March 31, 2024, as it did not document the means used to coordinate voice and message telecommunications with the ISO and adjacent interconnected transmission operators, and a process for investigating and recommending solutions to telecommunications problems, as required by R5.

COM-001-AB1-1.1 states, in part:

R5 Each operator of a transmission facility must provide a means to coordinate voice and message telecommunications with the ISO and adjacent interconnected transmission operators, which coordination must include the ability to investigate and recommend solutions to voice and message telecommunications problems within Alberta.

MR5 Evidence of providing a means to coordinate voice and message telecommunications as required in requirement R5 exists. Evidence may include a documented procedure in place which identifies a process for coordinating telecommunications and a process for investigating and recommending solutions to telecommunications problems.

The MSA is satisfied that the conduct was a contravention of Reliability Standard COM-001-AB1-1.1, requirement R5.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to us for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on July 19, 2024

<sup>1</sup> Specified penalty amount conditional on the completion of the accepted Mitigation Plan.