

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 24, 2025		Payment Due Date: March 24, 2025	
MSA File Number	RS2023-111	Specified Penalty Amount	\$ 1,500
Market Participant Name	AltaLink L.P., by its general partner, AltaLink Management Ltd.		
Reliability Standard	FAC-008-AB-3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R6	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	June 1, 2023	Date of Contravention	February 24, 2021

MSA FINDINGS

AltaLink L.P., by its general partner, AltaLink Management Ltd. contravened requirement R6 of FAC-008-AB-3 from February 24, 2021 up to May 24, 2023 as the facility ratings pertaining to transmission lines 3L-10, 3L-20, 417L-10, 491L-10, 903L-10, 919L-10, 910L-10 and 733L-10 were not consistent with the facility ratings methodology in accordance with requirement R3.

Requirement R6 of FAC-008-AB-3 states:

- Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must have facility ratings for its facilities that are consistent with:
- (a) the facility ratings methodology in accordance with requirements R2 or R3, for a transmission facility, a generating unit and an aggregated generating facility; and
 - (b) the documentation in accordance with requirement R1, for a generating unit and an aggregated generating facility.

The MSA is satisfied that the conduct was a contravention of Reliability Standard FAC-008-AB-3, requirement R6.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 24, 2025