NOTICE OF SPECIFIED PENALTY			
Date of Issue: January 31, 2025		Payment Due Date: March 3, 2025	
MSA File Number	2024-268	Specified Penalty Amount	\$250
Market Participant Name	Hays Solar LP		
Asset ID (if applicable)	HYS1	Self-Report	⊠ YES □ NO
ISO Rule Section	203.4	Date of Contravention	May 24, 2024
Date of Referral/Self Report	August 8, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

## **MSA FINDINGS**

On May 24, 2024, throughout multiple 10-minute clock periods during the hour endings ('HE') 17, 18, 19, 20 and 21, the average MW delivered by the HYS1 asset varied outside the allowable dispatch variance. Section 203.4 of the ISO rules states, in part:

3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10-minute clock period outside the allowable dispatch variance.

The MSA is satisfied that the conduct was a contravention of section 203.4 of the ISO rules.

## **DELIVERY OF PAYMENT**

Payment can be made by electronic funds transfer (EFT). Please reach out to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> for instructions. When submitting the EFT, please send an email to <a href="mailto:AU.FinOps@gov.ab.ca">AU.FinOps@gov.ab.ca</a>, <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> and <a href="mailto:enforcement@auc.ab.ca">enforcement@auc.ab.ca</a> noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.

## **APPROVED BY**

Mark Nesbitt, Director, Enforcement on January 31, 2025