

## NOTICE OF SPECIFIED PENALTY

Date of Issue: December 6, 2024		Payment Due Date: January 6, 2025	
MSA File Number	2024-191	<b>Specified Penalty Amount</b>	<b>\$250</b>
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.4	Date of Contravention	May 30, 2024
Date of Referral/Self Report	June 6, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

During the hour ending 24 on May 30, 2024, Canadian Hydro Developers, Inc. offered to provide 10 MW of Fast Frequency Response (FFR) from its SUM1 asset, while the SUM1 asset was contracted to provide 10 MW of spinning reserve (SR). Information available to the MSA indicates that the SUM1 asset would not have been able to comply with all FFR and SR dispatches given the maximum capability (10 MW) of the asset. Section 201.4 of the ISO rules states in part:

5 A pool participant must coordinate its submissions in a manner that ensures the pool participant is able to comply with all dispatches related to those submissions.

The MSA is satisfied that the conduct was a contravention of section 201.4 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on December 6, 2024