

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 24, 2024		Payment Due Date: July 25, 2024	
MSA File Number	2024-168	Specified Penalty Amount	\$250
Market Participant Name	Halkirk I Wind Project LP		
Asset ID (if applicable)	HAL1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	502.6	Date of Contravention	June 24, 2020
Date of Referral/Self Report	May 17, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Information available to the MSA indicates that model revalidation testing and reactive power reverification testing for Halkirk I Wind Project was not performed in 2018, as required under Section 502.6 of the ISO rules. This testing remained incomplete until October 2023.

Section 502.6 of the ISO rules states, in part:

Model Revalidation Testing

11(1) The legal owner of a generating unit must, for each model referenced in subsection 11(2), perform model revalidation testing no later than five (5) years from the date of the most recently completed baseline testing or model revalidation testing.

Reactive Power Verification Testing

12(1) The legal owner of a generating unit must, subject to subsection 12(3), perform reactive power verification testing of the generating unit at the maximum authorized real power for the generating unit at regular intervals no later than five (5) years from the date of the prior reactive power verification or re-verification testing.

The MSA is satisfied that the conduct was a contravention of section 502.6 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on June 21, 2024