

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 10, 2024		Payment Due Date: May 10, 2024	
MSA File Number	2024-005	Specified Penalty Amount	\$750
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	October 13, 2023
Date of Referral/Self Report	January 9, 2024	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 13, 2023, between the settlement interval of HE 1 and HE 24, 80 dispatches were issued to the FMG1 asset and were not acknowledged within two minutes of receipt.

Section 201.7 of the ISO rules states, in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

The MSA is satisfied that the conduct was a contravention of section 201.7 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on April 8, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 10, 2024		Payment Due Date: May 10, 2024	
MSA File Number	2024-005	Specified Penalty Amount	\$2,500
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	October 14, 2023
Date of Referral/Self Report	January 9, 2024	This is the third contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 14, 2023, between the settlement interval of HE 1 and HE 24, 64 dispatches were issued to the FMG1 asset and were not acknowledged within two minutes of receipt.

Section 201.7 of the ISO rules states, in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

The MSA is satisfied that the conduct was a contravention of section 201.7 of the ISO rules.

DELIVERY OF PAYMENT

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NOTICE OF SPECIFIED PENALTY

Date of Issue: April 10, 2024		Payment Due Date: May 10, 2024	
MSA File Number	2024-005	Specified Penalty Amount	\$5,000
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	October 22, 2023
Date of Referral/Self Report	January 9, 2024	This is the fourth contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 22, 2023, between the settlement interval of HE 1 and HE 24, 104 dispatches were issued to the FMG1 asset and were not acknowledged within two minutes of receipt.

Section 201.7 of the ISO rules states, in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

The MSA is satisfied that the conduct was a contravention of section 201.7 of the ISO rules.

DELIVERY OF PAYMENT

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NOTICE OF SPECIFIED PENALTY

Date of Issue: April 10, 2024		Payment Due Date: May 10, 2024	
MSA File Number	2024-005	Specified Penalty Amount	\$5,000
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	October 24, 2023
Date of Referral/Self Report	January 9, 2024	This is the fifth contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 24, 2023, between the settlement interval of HE 1 and HE 24, 104 dispatches were issued to the FMG1 asset and were not acknowledged within two minutes of receipt.

Section 201.7 of the ISO rules states, in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

The MSA is satisfied that the conduct was a contravention of section 201.7 of the ISO rules.

DELIVERY OF PAYMENT

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MSA File Number	2024-005	Specified Penalty Amount	\$5,000
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	October 30, 2023
Date of Referral/Self Report	January 9, 2024	This is the sixth contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 30, 2023, between the settlement interval of HE 1 and HE 24, 59 dispatches were issued to the FMG1 asset and were not acknowledged within two minutes of receipt.

Section 201.7 of the ISO rules states, in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

The MSA is satisfied that the conduct was a contravention of section 201.7 of the ISO rules.

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MSA File Number	2024-005	Specified Penalty Amount	\$5,000
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	November 14, 2023
Date of Referral/Self Report	January 9, 2024	This is the seventh contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 14, 2023, between the settlement interval of HE 1 and HE 24, 145 dispatches were issued to the FMG1 asset and were not acknowledged within two minutes of receipt.

Section 201.7 of the ISO rules states, in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

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