

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 15, 2024		Payment Due Date: May 15, 2024	
MSA File Number	2023-532	Specified Penalty Amount	\$250
Market Participant Name	Forty Mile Granlea Wind GP Inc.		
Asset ID (if applicable)	FMG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	306.4	Date of Contravention	November 23, 2023
Date of Referral/Self Report	December 12, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 23, 2023, Forty Mile Granlea Wind GP Inc. began work on permit 1-426629 but did not obtain real-time approval from the AESO prior to transmission equipment being brought out of service.

Section 306.4 of the ISO rules states, in part:

8(1) The legal owner of a transmission facility must, in relation to any planned outage, obtain real-time approval from the ISO prior to switching transmission equipment out of service.

The MSA is satisfied that the conduct was a contravention of section 306.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on April 15, 2024