

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 12, 2024		Payment Due Date: August 12, 2024	
MSA File Number	2023-501	Specified Penalty Amount	\$500
Market Participant Name	Concord Vulcan Partnership		
Asset ID (if applicable)	VCN1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	301.2	Date of Contravention	July 21, 2023
Date of Referral/Self Report	November 23, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On July 21, 2023, during HE 13, the VCN1 asset received a transmission constraint directive. The asset did not reduce its generation to the reliability limit that was in effect. As a result, the asset did not comply with the directive.

Section 301.2 of the ISO rules states, in part:

3(1) A market participant must comply with a directive it receives subject to any other ISO rule or reliability standard and the exceptions in subsections 3(2) and 3(3).

The MSA is satisfied that the conduct was a contravention of section 301.2 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on July 12, 2024