

## NOTICE OF SPECIFIED PENALTY

Date of Issue: June 26, 2024		Payment Due Date: July 26, 2023	
MSA File Number	2023-328	<b>Specified Penalty Amount</b>	<b>\$1,500</b>
Market Participant Name	Air Liquide Canada Inc.		
Asset ID (if applicable)	ALS1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.3	Date of Contravention	September 28, 2022
Date of Referral/Self Report	July 28, 2023	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 28, 2022, ALS1 was contracted during multiple settlement intervals to provide 5 MW of supplemental reserve. Information available to the MSA indicates that the ALS1 asset restated its supplemental reserve offer to 4 MW for multiple settlement intervals. Section 205.3 of the ISO rules states in part:

3(5) A pool participant that submits a restatement must restate to 0 or to no less than 5 MW for each pool asset.

The MSA is satisfied that the conduct was a contravention of section 205.3 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on June 21, 2024