

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 27, 2024		Payment Due Date: September 27, 2024	
MSA File Number	2023-231	Specified Penalty Amount	\$250
Market Participant Name	Syncrude Canada Ltd.		
Asset ID (if applicable)	SCL1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	505.4	Date of Contravention	May 2, 2023
Date of Referral/Self Report	May 31, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 2, 2023, the SCL1 asset underwent governor testing. Information available to the MSA indicates that a testing plan was not submitted to the ISO prior to the testing. Section 505.4 of the ISO rules states in part:

2 Each of the legal owner of a generating unit and the legal owner of an aggregated generating facility must provide final, written operational testing plans to the ISO:

- (a) which the ISO approves as being able to be implemented without impacting the reliable operation of the interconnected electric system;
- (b) detailing the proposed date and time of operational testing and expected duration;
- (c) specifying the types of operational testing activities;
- (d) detailing the expected output of real power and reactive power from the generating facility to the interconnected electric system and over what periods of time; and
- (e) in sufficient time to allow the ISO to approve the operational testing plans a minimum of fifteen (15) days prior to the desired testing date.

The MSA is satisfied that the event was a contravention of section 505.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on August 27, 2024