| NOTICE OF SPECIFIED PENALTY  |   |  |                 |
|------------------------------|---|--|-----------------|
| Date of Issue: June 7, 2024  |   | Payment Due Date: July 9, 2024   |                 |
| MSA File Number              | 2023-178  | Specified Penalty<br>Amount  | \$750           |
| Market Participant Name      | Cypress 2 Renewable<br>Energy Centre Limited<br>Partnership |  |                 |
| Asset ID (if applicable)     | CYP2  | Self-Report  | ⊠ YES □ NO      |
| ISO Rule Section             | 502.4   | Date of Contravention  | August 27, 2023 |
| Date of Referral/Self Report | December 28, 2023   | This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period. |                 |

## **MSA FINDINGS**

From August 27, 2023 to December 12, 2023 there were numerous instances identified in which the Automated Dispatch Messaging System (ADaMS) account associated with CYP2 was logged out. Section 502.4 of the ISO rules states, in part:

4(1) All Automated Dispatch and Messaging system and voice communication systems under this section 502.4 must be continuously operational twenty four (24) hours a day, seven (7) days a week.

The MSA is satisfied that the conduct was a contravention of section 502.4 of the ISO rules.

## **DELIVERY OF PAYMENT**

Payment can be made by electronic funds transfer (EFT). Please reach out to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> for instructions. When submitting the EFT, please send an email to <a href="mailto:AU.FinOps@gov.ab.ca">AU.FinOps@gov.ab.ca</a>, <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> and <a href="mailto:enforcement@auc.ab.ca">enforcement@auc.ab.ca</a> noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.

## **APPROVED BY**

Mark Nesbitt, Director, Enforcement on June 6, 2024