

## NOTICE OF SPECIFIED PENALTY

Date of Issue: September 27, 2021		Payment Due Date: October 28, 2021	
MSA File Number	2021-178	<b>Specified Penalty Amount</b>	<b>\$500</b>
Market Participant Name	ATCO Electric Ltd.		
Asset ID (if applicable)		Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	306.4	Date of Contravention	December 14, 2020
Date of Referral/Self Report	April 29, 2021	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

### EVENT DETAILS

ATCO Electric Ltd. (ATCO Electric) scheduled an outage to occur starting December 14, 2020. By 12:00 noon on the Tuesday (December 8, 2020) before the operating week of this planned outage, ATCO Electric had not resubmitted this planned outage request to the ISO. The outage was identified by the AESO system controller in a phone call to ATCO Electric on the morning of December 14, 2020. Information available to the MSA indicates that the outage appeared in ATCO Electric's planned outage submission on December 1, 2020, but this outage was not included in the resubmission sent by ATCO Electric on December 8, 2020. Section 306.4 of the ISO rules states in part:

3(5) The legal owner of a transmission facility must, on the Tuesday before each operating week and prior to 12:00 noon, resubmit to the ISO all planned outage requests that the legal owner intends to conduct in the following operating week.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 306.4 of the ISO rules.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO approved outage data for December 2020.
2. ATCO Electric weekly outage report dated December 1, 2020.
3. AESO information request issued to ATCO Electric Ltd. dated April 8, 2021.
4. ATCO Electric Ltd. response to the AESO information request, dated April 14, 2021.
5. Referral from the AESO to the MSA dated April 29, 2021.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca). Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature	"Original Signed"	Signature Date	September 27, 2021
Name	Andrew Wilkins	Title	Director, Compliance