

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 24, 2020		Payment Due Date: October 26, 2020	
MSA File Number	2020-113	Specified Penalty Amount	\$250
Market Participant Name	TransAlta Generation Partnership		
Asset ID (if applicable)	SD4	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	202.4	Date of Contravention	April 7, 2020
Date of Referral/Self Report	April 29, 2020	This is the first contravention by this asset for this section of the ISO rules within a rolling 12 month period.	

EVENT DETAILS

At approximately 04:48:59 on April 7, 2020, the SD4 generating asset submitted a long lead start time of 05:00 on April 7, 2020 into the Automated Dispatch and Messaging System. Information available to the MSA indicates this submission could have been entered prior to two hours before the start of the settlement interval. Section 202.4 of the ISO rules states in part:

- 5(1) A pool participant must, if it wishes to have a long lead time asset that is not synchronized participate in the energy market, enter a start time for the long lead time asset prior to two (2) hours before the start of the settlement interval.
- (2) A pool participant must enter a start time in the Automated Dispatch and Messaging System.
- (3) A pool participant must enter a start time which indicates when the pool participant anticipates the long lead time asset will synchronize to the interconnected electric system.
- (4) A pool participant may:
- (a) prior to two (2) hours before the start of the settlement interval, submit a restated start time for the long lead time asset; and
 - (b) within two (2) hours before the start of the settlement interval, submit a restated start time for the long lead time asset if it has an acceptable operational reason.
- (5) A pool participant must ensure that a restated start time submitted in accordance with subsection 5(4) represents the current physical condition of the long lead time asset.
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FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 202.4 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO long lead start-up time and start time, available capability, dispatch, generation and offer data for SD4 for April 7, 2020.
2. Self-report submitted by TransAlta Generation Partnership to the MSA dated April 29, 2020.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	September 24, 2020
Name	Andrew Wilkins	Title	Director, Compliance