| White text on a black background  Description automatically generated with medium confidence **ISO RULES SELF-REPORT FORM[[1]](#footnote-2)** | | | | |
| --- | --- | --- | --- | --- |
| MARKET PARTICIPANT information | | | | |
| Market Participant Name: |  | | | |
| Address: |  | | Contact Name: |  |
| Contact Phone Number: |  | | Contact E-mail: |  |
| Compliance program in place? | | | YES  NO | |
| Contravention Information | | | | |
| Asset ID (If applicable): | |  | ISO Rules Section(s): |  |
| Date of Contravention: | | |  | |
| If this Self-Report is not submitted within 30 days of the date the contravention began, provide reasons for the delay: | | |  | |
| Describe in detail the contravention, including time, duration and any other information that you consider to be relevant, including any mitigating circumstances and corrective actions: | | | | |
| Signature | | | | |
| *I acknowledge that I am authorized on behalf of the above-named market participant to sign this Self-Report, and that I do so believing it is accurate.* | | | | |
| Name: |  | | Title: |  |
| Signature: |  | | Date: |  |

1. This form is provided in accordance with the MSA Compliance Process, available at [www.albertamsa.ca](http://www.albertamsa.ca) and may be used to satisfy the self-disclosure requirements of AUC Rule 019. [↑](#footnote-ref-2)