

## NOTICE OF SPECIFIED PENALTY

Date of Issue: May 29, 2017		Payment Due Date: June 29, 2017	
MSA File Number	RS2017-008	<b>Specified Penalty Amount</b>	<b>\$2,500</b>
Registered Entity Name	City of Lethbridge		
Reliability Standard	EOP-001-AB-2b/EOP-001-AB1-2.1b	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R10	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	February 15, 2017	Date of Contravention	January 1, 2014 to February 15, 2017

### EVENT DETAILS

From January 1, 2014 until February 15, 2017, the City of Lethbridge did not provide a copy of its updated plans to mitigate operating emergencies on the transmission system and plans for load shedding to the ISO.

**R10** of EOP-001-AB-2b/EOP-001-AB1-2.1b states: Each operator of a transmission facility must provide a copy of its updated plans to mitigate operating emergencies on the transmission system and plans for load shedding to any affected adjacent operator of a transmission facility and the ISO.

**MR10** of EOP-001-AB-2b/EOP-001-AB1-2.1b states: Evidence of providing updated plans as required in requirement R10 exists. Evidence may include email or mail to appropriate recipients that identifies contents submitted.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standards EOP-001-AB-2b/EOP-001-AB1-2.1b, requirement R10.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report submitted by the City of Lethbridge to the MSA on February 15, 2017.
2. Mitigation Plan submitted by the City of Lethbridge to the MSA on February 15, 2017.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [jeremy.smith@auc.ab.ca](mailto:jeremy.smith@auc.ab.ca), and Greg Andrews (Investigator) [greg.andrews@auc.ab.ca](mailto:greg.andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature	"Original Signed"	Signature Date	May 29, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services