

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 17, 2017		Payment Due Date: September 18, 2017	
MSA File Number	2017-305	Specified Penalty Amount	\$750
Registered Entity Name	Daishowa-Marubeni International Ltd.		
Asset ID (if applicable)	DAI1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule	205.5	Date of Contravention	July 26, 2017
Date of Referral/Self Report	July 27, 2017	This is the second contravention by this asset for this rule within a rolling 12 month period.	
EVENT DETAILS			
<p>On July 26, 2017 during the HE 16 settlement period, the DAI1 generating asset was dispatched for 10 MW of spinning reserve. At approximately 15:45 on July 26, 2017, DAI1 received an ancillary services directive to provide 10 MW of reserve energy. DAI1 did not provide a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive plus the amount of real power set out in the directive within ten minutes after receiving the directive. Subsection 7(1) of section 205.5 of the ISO rules states:</p> <p>A pool participant must, within ten (10) minutes after receiving a directive to provide spinning reserve, ensure that the pool asset is providing a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive plus the amount of real power set out in the directive, as measured in accordance with subsection 3(4).</p>			
FINDINGS			
Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 205.5.			
MATERIAL FACTS			
<p>The material facts relied upon by the MSA include the following:</p> <ol style="list-style-type: none"> 1. AESO generation and directive data for DAI1 for July 26, 2017. 2. Self-report submitted by Daishowa-Marubeni International Ltd. to the MSA dated July 27, 2017. 			
DELIVERY OF PAYMENT			
<p>Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) jeremy.smith@auc.ab.ca, and Greg Andrews (Investigator) greg.andrews@auc.ab.ca.</p>			
NOTICE			
<p>The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the <i>Alberta Utilities Commission Act</i> to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.</p> <p>In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.</p> <p>In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.</p> <p>If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.</p>			
SIGNATURE			
Signature	"Original Signed"	Signature Date	August 17, 2017
Name	Doug Doll	Title	Director, Compliance and Corporate Services