

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 11, 2017

Payment Due Date: February 13, 2017

MSA File Number:	2016-431	Specified Penalty Amount:	\$ 500
Market Participant Name:	Capital Power (CBEC) L.P.		
Asset ID (if applicable):	ENC2	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	204.3	Date of Contravention:	September 12, 2016
Date of Referral/Self Report:	December 12, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On September 12, 2016, at approximately 14:39, the Dispatch Down Service (DDS) offers for the ENC2 generating asset were restated from 15 MW to 0 MW for settlement intervals HE18 through HE23. At approximately 19:52 on the same day, the DDS offers for the ENC2 generating asset were restated from 0 MW back to 15 MW for settlement intervals HE20 to HE22 without an acceptable operational reason. ISO rule 204.3 specifies that a pool participant must submit the reason or reasons for submitting a MW restatement in accordance with subsection 3(2) if such a MW restatement is submitted within two (2) hours of the settlement interval or within the current settlement interval.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 204.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch, and DDS offer data for ENC2 for September 12, 2016.
2. AESO information request issued to Capital Power (CBEC) L.P. dated November 3, 2016.
3. Capital Power (CBEC) L.P.'s response to the AESO dated November 18, 2016.
4. Referral from the AESO to the MSA dated December 12, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Greg Andrews (Investigator) greg.andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	January 11, 2017
Name:	Doug Doll	Title:	Director Corporate Services and Compliance