

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 4, 2016

Payment Due Date: November 4, 2016

MSA File Number:	2016-265	Specified Penalty Amount:	\$ 500
Market Participant Name:	Northstone Power Corp.		
Asset ID (if applicable):	NPC1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	205.3	Date of Contravention:	May 14, 2016
Date of Referral/Self Report:	August 25, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On May 14, 2016 at 22:45, NPC1 received the first of four dispatch instructions for 11 MW of supplemental reserve generation (SUPG), for effect at 23:00 for the HE 24 settlement period. Each of the dispatch instructions timed out without response and at 23:03, NPC1 was manually dispatched for the 11 MW of SUPG. Further information available to the MSA indicated that NPC1 was not equipped to respond to dispatches or provide reserves during off-peak hours and that the offer at issue was submitted in error. ISO rule 205.3 indicates that a pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if: a) the pool asset is no longer able to deliver the MW set out in the offer; or b) the pool participant is not able to meet the requirements set out in section 205.6 of the ISO rules.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 205.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch data for NPC1 for May 14, 2016.
2. AESO information request issued to Northstone Power Corp. dated July 13, 2016.
3. Northstone Power Corp. response to the AESO information request dated July 26, 2016.
4. Referral from the AESO to the MSA dated August 25, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	October 4, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance