

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 23, 2015

Payment Due Date: April 22, 2015

MSA File Number:	2015-056	<b>Specified Penalty Amount:</b>	\$ 250
Market Participant Name:	ENMAX Shepard Services Inc.		
Asset ID (if applicable):	EGC1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	505.3	Date of Contravention:	January 31, 2015
Date of Referral/Self Report:	March 3, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On January 31, 2015, EGC1 conducted WECC testing involving MVar adjustments. These adjustments were not communicated to the AESO prior to the start of testing. ISO rule 505.3 indicates that the legal owner of a generating unit must on the day of, and not less than one hour before the time of testing, phone the ISO and obtain verbal authorization from the ISO to proceed with the testing.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 505.3.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report from ENMAX Shepard Services Inc. to the MSA dated March 3, 2015.
2. MSA information request to the AESO on March 20, 2015.
3. AESO response to MSA information request on March 20, 2015

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	March 23, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services