

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 28, 2014

Payment Due Date: December 30, 2014

MSA File Number:	2014-410	Specified Penalty Amount:	\$ 1,500
Market Participant Name:	Cenovus FCCL Ltd.		
Asset ID (if applicable):	EC04	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	August 11, 2014
Date of Referral/Self Report:	November 20, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

At 11:08 on August 11, 2014, EC04 received and accepted a dispatch for 78 MW of energy. The asset did not move in the direction of the dispatch within 10 minutes of accepting the dispatch and was operating below the allowable dispatch variance (ADV) until 12:08. ISO Rule 203.4 states that a pool participant must move the output of a generating source asset which is the subject of a dispatch and ramping, toward the MW level indicated in the dispatch within ten (10) minutes of the time specified in the dispatch but not prior to the dispatch. Further, ISO rule 203.4 indicates that a pool participant must ensure that the generating source asset reaches generating asset steady state by no longer than the period set out in section 4(2)(b).

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability and generation data for EC04 for August 11, 2014.
2. AESO information request issued to Cenovus FCCL Ltd. dated November 4, 2014.
3. Cenovus FCCL Ltd.'s response to the AESO information request dated November 14, 2014.
4. Referral from the AESO to the MSA dated November 20, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	November 28, 2014
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services