

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 2, 2014

Payment Due Date: November 3, 2014

MSA File Number:	2014-334	Specified Penalty Amount:	\$ 500
Market Participant Name:	Imperial Oil Limited		
Asset ID (if applicable):	IOR1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	June 25, 2014
Date of Referral/Self Report:	September 23, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

At approximately 23:43 on June 24, 2014, IOR1 received an advance dispatch to 180 MW effective at the beginning of the following settlement interval (June 25, 2014, HE01). Less than one minute earlier, IOR1 had submitted an available capability (AC) restatement for June 25, 2014 restating AC from 180 MW to 142 MW and accordingly rejected the 23:43 dispatch. Information available to the MSA indicated that an AC restatement was not submitted in a timely manner due to human error and hence a 180 MW standing offer became effective for HE01. ISO rule 203.3 indicates that a pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of an acceptable operational reason, submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch and available capability data for IOR1 for June 25, 2014.
2. AESO information request issued to Imperial Oil Limited dated August 25, 2014.
3. Imperial Oil Limited's response to the AESO information request dated September 8, 2014.
4. Referral from the AESO to the MSA dated September 23, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	October 2, 2014
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services