

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 7, 2014

Payment Due Date: August 7, 2014

MSA File Number:	2014-209	Specified Penalty Amount:	\$ 250
Market Participant Name:	NorthPoint Energy Solutions Inc.		
Asset ID (if applicable):	SPBC	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	June 18, 2014
Date of Referral/Self Report:	June 19, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On June 18, 2014 at 17:41 MDT BCHA curtailed SPBC e-tag Z444225 to 33 MW from 50 MW for HE 19. A restatement of available capability (AC) was submitted for SPBC at 18:26 restating AC for HE 19 from 50 MW to 33 MW. Based upon information available to the MSA, the restatement of AC in this case was not performed as soon as reasonably practicable. ISO rule 203.3 indicates that a pool participant must submit an available capability restatement if there is a change to the AC of the source asset as a result on an acceptable operational reason. ISO rule 203.3 further requires submission of the restatement revising AC for the applicable hours as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO offer and restatement data for the SPBC source asset for June 18, 2014.
2. Self-report from Northpoint Energy Solutions Inc. to the MSA dated June 19, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	July 7, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services