

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 25, 2014

Payment Due Date: March 27, 2014

MSA File Number:	2014-048	Specified Penalty Amount:	\$ 750
Market Participant Name:	TransCanada Energy Ltd.		
Asset ID (if applicable):	SD2	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	January 15, 2014
Date of Referral/Self Report:	February 10, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On January 15, 2014 at 22:09, the SD2 generating asset was dispatched from 250 MW to 200 MW for immediate effect. Information available to the MSA indicated that SD2 did not begin to move directionally toward the revised dispatch level until 22:23. ISO rule section 203.4 states that a pool participant must move the output of a generating source asset which is: a) the subject of a dispatch and b) ramping, towards the MW level indicated within ten (10) minutes of the time specified in the dispatch but not prior to the dispatch.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch and generation data for SD2 for January 15, 2014.
2. Self-report from TransCanada Energy Ltd. to the MSA dated February 10, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 25, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services