

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 31, 2014

Payment Due Date: March 3, 2014

MSA File Number:	2014-009	Specified Penalty Amount:	\$ 750
Market Participant Name:	TransCanada Energy Ltd.		
Asset ID (if applicable):	SD1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	January 7, 2014
Date of Referral/Self Report:	January 17, 2014	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On January 7, 2014 at 09:41, SD1 received an advanced energy dispatch to 280 MW for effect at 10:00. Subsequently at 09:51, SD1 received a revised advanced dispatch to 250 MW effective at 10:00. The prevailing dispatch level prior to the 09:41 dispatch was 250 MW, accordingly, the 09:51 dispatch instructed SD1 to remain in a steady state at the 250 MW generation level. At approximately 10:10, SD1 begin to ramp up, moving outside of its allowable dispatch variance (ADV). The generation level of the SD1 asset remained outside ADV until approximately 10:40. ISO rule section 203.4 states that a pool participant must not, during generating asset steady state, vary the average MW it delivers from a generating source asset in any 10 minute clock period from the dispatch MW by more than the allowable dispatch variance.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation and dispatch data for SD1 for January 7, 2014.
2. Self-report from TransCanada Energy Ltd. to the MSA dated January 17, 2014.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	January 31, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services