

NOTICE OF SPECIFIED PENALTY

Date of Issue: January 30, 2014

Payment Due Date: March 3, 2014

MSA File Number:	2013-429	Specified Penalty Amount:	\$ 1,500
Market Participant Name:	Alberta-Pacific Forest Industries		
Asset ID (if applicable):	AFG1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	August 15, 2013
Date of Referral/Self Report:	December 13, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On August 15, 2013 at approximately 14:41 the AFG1 generation asset rejected an advanced energy dispatch issued by the AESO to move from 15 MW to 29 MW effective at 15:00. Accordingly, AFG1 remained dispatched to 15 MW for HE 16, however, information available to the MSA indicated that beginning at 15:10, AFG1 generated outside of its allowable dispatch variance (ADV) for five 10 minute clock periods. ISO Rule 203.4 states that a pool participant must move the output of a generating source asset which is the subject of a dispatch and ramping, towards the MW level indicated in that dispatch within ten (10) minutes of the time specified in the dispatch but not prior to the time specified in the dispatch.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO dispatch and generation data for AFG1 for August 15, 2013.
2. AESO information request issued to Alberta-Pacific Forest Industries on November 7, 2013.
3. Alberta-Pacific Forest Industries response dated November 20, 2013 to AESO information request.
4. AESO letter to Alberta-Pacific Forest Industries dated December 13, 2013 indicating referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	January 30, 2014
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services