

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 7, 2013

Payment Due Date: December 9, 2013

MSA File Number:	2013-366	Specified Penalty Amount:	\$ 500
Market Participant Name:	Imperial Oil Limited		
Asset ID (if applicable):	IOR1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.1	Date of Contravention:	July 2, 2013
Date of Referral/Self Report:	October 22, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On July 02, 2013, between 10:30 and 12:02, the available capability (AC) declared for the IOR1 generating asset for HE 13 was 103 MW until it was restated to 130 MW at or about 12:02. Information available to the MSA indicated that due to operator error, an inaccurate AC value was initially submitted until it was corrected by the 12:02 restatement. Accordingly, the difference between the AC and MC could not be attributed to an acceptable operational reason as defined in ISO rules. ISO Rule 203.1 indicates that a pool participant that submits an offer must also submit the available capability, in MW, for each source asset which such available capability must equal the maximum capability of the source asset unless the pool participant has submitted an acceptable operational reason with the offer.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.1.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO information request issued to Imperial Oil Limited on September 30, 2013.
2. Response to AESO information request by Imperial Oil Limited on October 8, 2013.
3. AESO letter to Imperial Oil Limited dated October 22, 2013 indicating referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	November 7, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services