

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 7, 2013

Payment Due Date: November 7, 2013

MSA File Number:	2013-330	Specified Penalty Amount:	\$ 5,000
Market Participant Name:	Powerex Corp.		
Asset ID (if applicable):	FNG1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	September 7, 2013
Date of Referral/Self Report:	September 27, 2013	This is the fourth contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

At 07:40 MDT on September 7, 2013, FNG1 accepted an advance energy dispatch to move from 49 MW to 67 MW, effective at 08:00 MDT. The FNG1 asset did not begin to move directionally toward the new dispatch level until receiving a subsequent dispatch to 64 MW at 08:51 MDT. Following the 08:51 dispatch, FNG1 began moving directionally toward the new dispatch level within 10 minutes and output reached within the ADV of its 64 MW dispatch level at approximately 09:06 MDT. ISO rule section 203.4 requires that the output of a generating asset which is the subject of an energy market dispatch must be changed to move directionally towards the MW quantity indicated in the energy market dispatch within 10 minutes of the time specified in the energy market dispatch.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report from Powerex Corp. to the MSA dated September 27, 2013.
2. AESO generation and dispatch data for FNG1 for September 7, 2013.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	October 7, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services