

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 17, 2013

Payment Due Date: October 18, 2013

MSA File Number:	2013-308	Specified Penalty Amount:	\$ 500
Market Participant Name:	TransAlta Generation Partnership		
Asset ID (if applicable):	BRA	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	May 2, 2013
Date of Referral/Self Report:	September 9, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On April 15, 2013, at 14:31, TransAlta notified the AESO of maintenance work at the BRA asset via an energy offer submission restating available capability (AC) to 160 MW beginning in HE 09 on April 26, 2013 and extending to HE 18 on May 2, 2013. A 10:45 restatement on April 25, 2013 subsequently restated AC for HE 18 on May 2, 2013 from 160 MW to 350 MW. On May 2, 2013, TransAlta determined that additional mechanical repairs were required which would delay BRA unit 2 from returning to service as planned. At or about 16:41 on May 2, 2013, TransAlta submitted an energy restatement restating the AC for the BRA asset from 350 MW to 160 MW for HE 18 to HE 24 including the stated reason "forced outage" to reflect the extension to the original outage. Information available to the MSA indicates that while the 16:41 restatement was made for a valid operational reason, TransAlta had knowledge several hours prior to the 16:41 restatement, that the outage would require extension. Given that TransAlta did not restate AC for the HE 18-24 period until 16:41, TransAlta appears not to have restated as soon as reasonably practicable in this case. ISO rule section 203.3 states that a pool participant with a submitted offer must, if there is a change to the available capability of the source asset as a result of an acceptable operational reason, submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO energy offer and restatement data for the BRA asset on May 2, 2013.
2. Referral from the AESO dated September 9, 2013.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	September 17, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services