

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 26, 2013

Payment Due Date: August 26, 2013

MSA File Number:	2013-269	Specified Penalty Amount:	\$ 250
Market Participant Name:	TransAlta Energy Marketing Corporation		
Asset ID (if applicable):	TEBC	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	201.7	Date of Contravention:	June 15, 2013
Date of Referral/Self Report:	July 18, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On June 15, 2013 between 00:40 and 00:50, TEBC received two dispatches for 50 MW effective at 01:00 that were neither accepted nor rejected within the required time period. Further, between 06:51 and 07:05, TEBC received three dispatches to 0 MW. The first two dispatch instructions were effective at 07:00 and the third dispatch was for immediate effect. These dispatches were neither accepted nor rejected within the required time period. ISO rule section 201.7 requires that for an interchange transaction, a pool participant must acknowledge receipt of a dispatch within 5 minutes.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 201.7.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report from TransAlta Energy Marketing Corporation to the MSA on July 18, 2013.
2. AESO dispatch data for TEBC for June 15, 2013.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	July 26, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services