

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 14, 2013

Payment Due Date: April 15, 2013

MSA File Number:	2013-061	<b>Specified Penalty Amount:</b>	\$ 10,000
Market Participant Name:	Grande Prairie Generation Inc.		
Asset ID (if applicable):	NPP1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.6	Date of Contravention:	October 30, 2012
Date of Referral/Self Report:	February 28, 2013	This is the fourth contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On October 30, 2012, at approximately 18:26, NPP1 accepted an energy dispatch to 65 MW. Subsequently, at approximately 18:33 NPP1 accepted an energy dispatch to 75 MW. At approximately 18:59, NPP1 accepted an energy dispatch to 65 MW. Between 18:26 and 19:34, NPP1 output remained at or about 38 MW. ISO rule 6.6.3 indicates that the output of a generating asset which is the subject of an energy market dispatch must be changed to move directionally toward the quantity (MW) indicated in that energy market dispatch within ten (10) minutes of the time specified in the energy market dispatch.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 6.6.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, generation and dispatch data for the NPP1 asset on October 30, 2012.
2. AESO information request dated February 4, 2013 issued to Constellation Energy Commodities Group.
3. Constellation Energy Commodities Group response dated February 18, 2013 to the AESO information request.
4. Referral from the AESO to the MSA dated February 28, 2013.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	March 14, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services