

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 5, 2012

Payment Due Date: October 5, 2012

MSA File Number:	RS2012-016	Specified Penalty Amount:	\$ 5,000
Registered Entity Name:	AltaLink L.P., by its general partner AltaLink Management Ltd.		
Reliability Standard :	PRC-001-AB-1	Self Report:	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement:	R6	Accepted Mitigation Plan:	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Referral/Self Report:	May 2, 2012	Date of Contravention:	January 13, 2011 to present

EVENT DETAILS

The Alberta Electric System Operator conducted the scheduled Q3 2011 compliance monitoring audit of AltaLink L.P., by its general partner AltaLink Management Ltd. The audit period was the effective date of the standard (January 22, 2010) until June 20, 2011. For the audit period two cases of protection system changes were identified where no clarification notifications were sent:

- 0103S Goose Lake including 164L A21/L11 and 164L B21/79/L11 dated May 26, 2011;
- 899S Edgerton T3 including A87/5051/51NN/T3 (SEL) and B87/5051/51NN/T3 (GE) dated Feb 2, 2011.

R6 of Alberta Reliability Standard PRC-001-AB-1 states "Each TFO must coordinate all protection systems including existing, new and modified protection systems with adjacent TFOs, affected GFOs, affected interconnected transmission operators and the ISO.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-001-AB-1, requirement 6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. The AESO's Alberta Reliability Standards Compliance Monitoring Audit Report dated May 2, 2012 based upon the audit evidence collected by AESO.
2. The MSA's information request to AESO dated July 26, 2012.
3. The AESO's August 14, 2012 response to the MSA's information request.
4. Email dated August 22, 2012 from AltaLink L.P., by its general partner AltaLink to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	September 5, 2012
Name:	Doug Doll	Title:	Manager Compliance