

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 30, 2012

Payment Due Date: April 30, 2012

MSA File Number:	RS2011-029	<b>Specified Penalty Amount:</b>	\$ 3,750
Registered Entity Name:	Canadian Natural Resources Ltd.		
Reliability Standard :	PRC-001-AB-1	Self Report:	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement:	R1	Accepted Mitigation Plan:	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Referral/Self Report:	July 26, 2011	Date of Contravention:	January 13, 2011 to present

### EVENT DETAILS

Canadian Natural Resources has not completed training of staff who operate protection system schemes including any RASs applicable within their system. R1 of Alberta Reliability Standard PRC-001-AB-1 states " The operating personnel of the ISO, TFO's and operators of generating units must each be familiar with the purpose and limitations of protection system schemes applied in its area.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-001-AB-1, requirement 1.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Canadian Natural Resources Ltd. self report to the MSA dated July 26, 2011.
2. The MSA's conditional forbearance dated September 22, 2011, to Canadian Natural Resources Ltd. pending the submission of an acceptable mitigation plan to correct the non compliance.
3. Canadian Natural Resources Ltd. mitigation plan to the MSA dated October 20, 2011.
4. The MSA's email dated February 29, 2012 requesting a mitigation plan completion form for the October 20, 2011 mitigation plan with a completion date of February 28, 2012.
5. Canadian Natural Resources Ltd updated mitigation plan and extension request submitted to the MSA dated March 12, 2012.
6. Failure to request an extension of the accepted mitigation plan as per section 4.8.3 of the MSA's Compliance Process.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	March 30, 2012
Name:	Doug Doll	Title:	Manager Compliance