

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 28, 2012

Payment Due Date: July 30, 2012

MSA File Number:	2012-158	Specified Penalty Amount:	\$ 2,500
Market Participant Name:	Grande Prairie Generation Inc.		
Asset ID (if applicable):	NPP1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.6	Date of Contravention:	January 19, 2012
Date of Referral/Self Report:	June 4, 2012	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On January 19, 2012 at approximately 22:45, the NPP1 asset accepted an advanced energy dispatch to 0 MW effective at 23:00. At approximately 22:49, the NPP1 asset also received a dispatch for immediate effect to increase generation from 50 MW to 76 MW. The NPP1 asset continued to generate at 76 MW and failed to move directionally towards the 0 MW dispatch effective at 23:00. The NPP1 asset began to move directionally towards the 0 MW dispatch at approximately 23:25. Section 6.6.3(a) of ISO Rule 6.6 requires that, in accordance with an energy market dispatch issued to a pool participant, the output of a generating asset must be changed to move directionally towards the quantity (MW) indicated in that energy market dispatch within ten (10) minutes of the time specified in the energy market dispatch.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 6.6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, generation and dispatch data for the NPP1 asset for January 19, 2012.
2. AESO Information Request to Constellation Energy Commodities Group dated May 1, 2012.
3. Constellation Energy Commodities Group May 16, 2012 response to the AESO Information Request.
4. AESO letter to Constellation Energy Commodities Group dated June 4, 2012 notifying of the referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	June 28, 2012
Name:	Doug Doll	Title:	Manager Compliance